

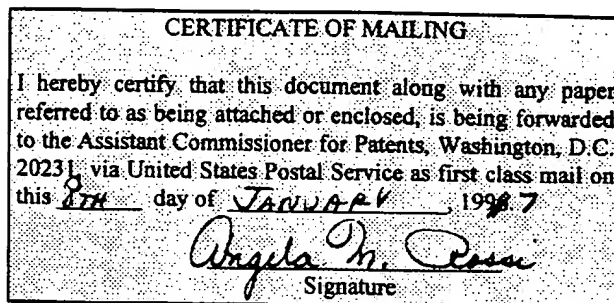
Date: 1/8/97  
Case Docket No.: EMU108DIV(1)

In re application of: Dennis C. Liotta, et al.

Serial No.: 08/488,097

Filed: June 7, 1995

For: ANTIVIRAL ACTIVITY AND RESOLUTION OF 2-HYDROXYMETHYL-5-(5-FLUOROCYTOSIN-1-YL)-1,3-OXATHIOLANE



ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith are the following.

- ☒ Amendment and Response to Office Action.  
☒ Petition for Extension of Time.  
☒ Photocopy of the Verified Statement Claiming Small Entity Status in U.S. Serial No. 07/831,153.  
☒ Check in the amounts of \$465.00.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	* 14	MINUS	** 20	= 0
INDEP	* 10	MINUS	*** 03	= 7
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

SMALL ENTITY	
RATE	ADDIT. FEE
x 11 =	\$
<del>40</del> x 39 =	\$280.00
+125	\$
TOTAL ADDIT. FEE	\$280.00

OTHER THAN A SMALL ENTITY	
RATE	ADDIT. FEE
x22 =	\$0
x78 =	\$0
+250	\$0
or TOTAL	\$0

\* If the entry in Col.1 is less than the entry in Col.2, write "0" in Col.3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the number found from the equivalent box in Col.1 of a prior amendment the number of claims originally filed.

- ☒ Please charge my Deposit Account No. 11-0855 in the amount of \$280.00. A duplicate copy of this sheet is attached.
- ☒ The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 11-0855.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

*Sherry M. Knowles*  
Sherry M. Knowles (Cheryl K. Zalesky)  
Reg. No. 33,052

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir: The "RECEIVED" stamp on the card acknowledges receipt of the enclosed:

Applicants: Dennis C. Liotta, et al.

Title: "Antiviral Activity and Resolution of 2-Hydroxymethyl-5-(5-Fluorocytosin-1-YL)..."

Serial No. 08/488,097 Docket No. EMU108DIV(1) E269/85453 Filed 06/07/95

( ) New application (Patent      Design     )

( ) Pages of Specification     

( ) Pages/Claims     

( ) Abstract     

( ) Declaration and Power of Attorney

( ) Small entity Statement

( ) Sequence Listing Diskette

( ) Drawings (     sheets of drawings)

(x) Amendment and Response to Office Action

(x) Form PTO 1083

(x) Certificate of Mailing

(x) Check in the amount of \$465.00

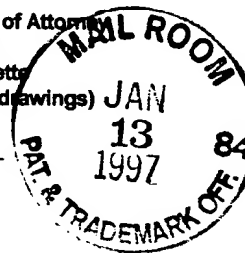
(x) Photocopy of Verified Statement Claiming

Small Entity Status in U.S.S.N. 07/831,153

(x) Petition for Extension of Time

(x) ATTACHMENT A

Date: 1/2/97



Sherry M. Knowles, Reg. No. 33,052

281370

Applicant or Patentee: Dennis C. Liotta, Raymond F. Schinazi  
and Woo-Baeg Choi

Attorney's Docket No. EMU108

Serial or Patent No.: 07/831,153

Filed or Issued: February 12, 1992

For: ANTIVIRAL ACTIVITY AND RESOLUTION OF 2-HYDROXYMETHYL-5-  
(5-FLUOROCYTOSIN-1-YL)-1,3-OXATHIOLANE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified  
below:

NAME OF ORGANIZATION: Emory University

ADDRESS OF ORGANIZATION: 1380 S. Oxford Road  
Atlanta, Georgia 30322

**TYPE OF ORGANIZATION**

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and  
501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE  
UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE  
(26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER  
STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE  
UNITED STATES OF AMERICA

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as  
defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United  
States Code with regard to the invention entitled "ANTIVIRAL ACTIVITY AND RESOLUTION OF 2-  
HYDROXYMETHYL-5-(5-FLUOROCYTOSIN-1-YL)-1,3-OXATHIOLANE" by Dennis C. Liotta,  
Raymond F. Schinazi and Woo-Baeg Choi described in

☐ the specification filed herewith

☒ application serial no. 07/831,153, filed February 12, 1992

☐ patent no. \_\_\_\_\_ issued \_\_\_\_\_

U.S Serial No. 07/831,153  
Filed: February 12, 1992  
Verified Statement Claiming Small Entity Status

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL      ☐ SMALL BUSINESS CONCERN      ☐ NONPROFIT ORG.

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL      ☐ SMALL BUSINESS CONCERN      ☐ NONPROFIT ORG.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both,

**NAME OF PERSON SIGNING** Vincent La Terza  
**TITLE IN ORGANIZATION:** Director of Licensing and Patent Counsel  
**ADDRESS OF PERSON SIGNING:** 2009 Ridgewood Drive, Atlanta, GA 30322

June 5, 1996  
DATE